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DEPARTMENT OF COMMERCE

Census Bureau

Proposed Information Collection; Comment Request; 2015 -2017 Business Research and

Development and Innovation Surveys

AGENCY: U.S. Census Bureau, Commerce.

ACTION: Notice.

SUMMARY: The Department of Commerce, as part of its continuing effort to reduce paperwork

and respondent burden, invites the general public and other Federal agencies to comment on

proposed and/or continuing information collections, as required by the Paperwork Reduction Act

of 1995, Public Law 104-13 (44 U.S.C. 3506(c)(2)(A)).

DATES: To ensure consideration, written or on-line comments must be submitted on or before

[INSERT DATE 60 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL

REGISTER].

ADDRESSES: Direct all written comments to Jennifer Jessup, Departmental Paperwork

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Clearance Officer, Department of Commerce, Room 6616, 14th and Constitution Avenue, NW, Washington, DC 20230 (or via the Internet at jjessup@doc.gov).

FOR FURTHER INFORMATION CONTACT: Requests for additional information or copies of the information collection instrument(s) and instructions should be directed to Richard Hough, U.S. Census Bureau, HQ-7K149, 4600 Silver Hill Rd., Suitland, MD 20746, (301) 763-4823 (or via the internet at richard.s.hough@census.gov).

SUPPLEMENTARY INFORMATION

I. Abstract

The U.S. Census Bureau, with support from the National Science Foundation (NSF), plans to conduct the Business R&D and Innovation Survey (BRDIS) for the 2015 - 2017 survey years. The BRDIS covers all domestic for-profit businesses that have 5 or more paid employees and are classified in certain industries. The BRDIS provides the only comprehensive data on R&D costs and detailed expenses by type and industry.

The Census Bureau has conducted an R&D survey since 1957 (the Survey of Industrial Research and Development (SIRD) from 1957 – 2007 and BRDIS from 2008 – present), collecting primarily financial information on the systematic work companies undertake to discover new knowledge or use existing knowledge to develop new or improved goods and services. The 2015-2017 BRDIS will continue to collect the following types of information:

- R&D expense based on accepted accounting standards.
- Worldwide R&D of domestic companies.
- Business segment detail.

- R&D-related capital expenditures.
- Detailed data about the R&D workforce.
- R&D strategy and data on the potential impact of R&D on the market.
- R&D directed to application areas of particular national interest.
- Data measuring innovation, intellectual property protection activities and technology transfer.

The BRDIS utilizes a booklet instrument that facilitates the collection of information from various contacts within each company who have the best understanding of the concepts and definitions being presented as well as access to the information necessary to provide the most accurate response. The sections of the booklet correspond to areas within the company and currently include: a company information section that includes detailed innovation questions; a financial section focused on company R&D expenses; a human resources section; an R&D strategy and management section; an IP and technology transfer section; and a section focused on R&D that is funded or paid for by third parties. A web instrument is also available to respondents. The web instrument incorporates Excel spreadsheets that are provided to facilitate the electronic collection of information from various areas of the companies. Respondents have the capability to download the spreadsheets from the Census Bureau's web site. Also provided is a spreadsheet programmed to consolidate the information from the various areas of the company so it can be simply uploaded into the web instrument.

Research, development and innovation are important to competitiveness in today's economy, and domestic and foreign researchers in academia, business, and government analyze and cite data from the BRDIS. Among the federal government users are the Bureau of Economic

Analysis (BEA) and the White House's Office of Science and Technology Policy (OSTP). BEA

includes R&D in the system of national accounts that measures the economic well-being of the

country. BRDIS data are key inputs into these accounts, which feed into the calculation of the

U.S. Gross Domestic Product (GDP). The White House, in 2006, issued the American

Competitiveness Initiative to "increase investments in research and development, strengthen

education, and encourage entrepreneurship." In support of this initiative and in response to

legislative mandates, data on R&D are delivered to OSTP, primarily in the biennial National

Science Board report Science and Engineering Indicators. Also, the National Science

Foundation (NSF) produces a series of publications containing R&D data including the National

Patterns of R&D Resources series, the S&E State Profile series, and the annual Business R&D

and Innovation series. Special reports and other publications are also prepared.

II. **Method of Collection**

The Census Bureau will use a paperless strategy for the standard form (BRDI-1).

Respondents will be mailed a letter referring them to the Census Bureau's Business Help Site

where they can complete the questionnaire online. They can also obtain a PDF version of the

questionnaire or they can request a paper form be mailed to them. The screener is a mail out/mail

back survey form (BRDI-1(S)); a web-based collection option also will be available. Companies

will be asked to respond within 60 days of the initial mail out.

III. Data

OMB Control Number: 0607-0912.

Form Number: BRDI-1 & BRDI-1S.

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Type of Review: Regular submission.

Affected Public: All for-profit (public or private), domestic businesses that have 5 or more paid employees and are classified in certain industries.

Estimated Number of Respondents:

Estimated Time Per Response:

Estimated Total Annual Burden Hours: 126,500.

Estimated Total Annual Cost: \$0.

Respondent's Obligation: Mandatory.

Legal Authority: Title 13 U.S.C. Section 131, 182, 224, and 225.

IV. Request for Comments

Comments are invited on: (a) whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection

techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the

request for OMB approval of this information collection; they also will become a matter of

public record.

Dated: June 5, 2015

Glenna Mickelson,

Management Analyst, Office of the Chief Information Officer.

BILLING CODE: 3510-07-P.

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